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MAY 15 2001

1619

Docket No. 0327-0815-0



IN RE APPLICATION OF: Keiko HASIBE, et al.

SERIAL NO: 09/468,777

FILED: December 21, 1999

FOR: AMPHIPATIC LIPID DISPERSION

TECH CENTER 1600/2900

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an Amendment (w/attached Marked-Up Copy) in the above-identified application.

- No additional fee is required
- Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith:

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	15	MINUS	20	0	× \$18 =	\$0.00
INDEPENDENT	2	MINUS	3	0	× \$80 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS		+ \$270 =		\$0.00
						\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment		+ \$40 =		\$0.00
						TOTAL \$0.00

- A check in the amount of -0- is attached.
- Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
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TECH CENTER 1600/2900

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

KEIKO HASEBE ET AL

: EXAMINER: WELLS

SERIAL NO: 09/468,777

: GROUP ART UNIT: 1619

FILED: DECEMBER 21, 1999

FOR: AMPHIPATRIC LIPID  
DISPERSION

AMENDMENT AND REQUEST FOR RECONSIDERATION

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

In response to the outstanding Official Action of February 12, 2001, reconsideration of the above-identified application is respectfully requested in view of the following amendment and remarks:

IN THE CLAIMS

Please cancel claims 1-10 without prejudice. Please add new claims 11-19 as follows:

*sub*  
*B2*  
*11*  

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11. (New) A dispersion comprising:

(a) 5 to 40 wt.% of an amphipathic lipid having, in the molecule thereof, at least one

hydroxy group and at least one amide group;

(b) 2 to 55 wt.% of a surfactant; and

(c) an aqueous medium;